CopenhagenCompliance®

Global Actionable Regulatory Program

GARP

Introduction

The overly regulated oversight reporting requires an integrated compliance landscape where good governance results in optimized risk management and automated compliance processes. The journey of Governance, Risk, Compliance and IT-Security (GRC) conformity, requires a holistic approach in addressing the massive overreach.
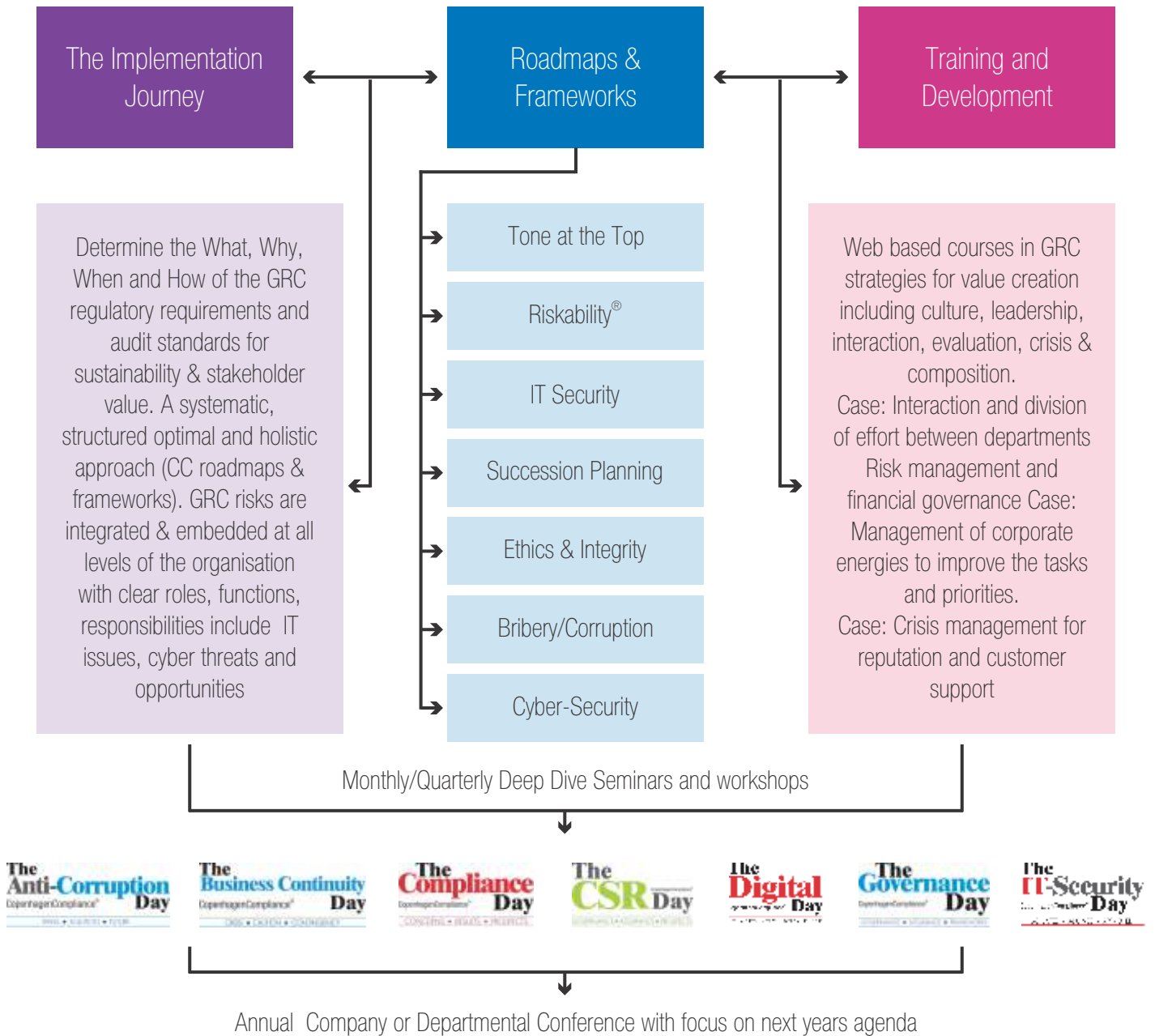
Do not let regulatory compliance be a reverse Corleone from regulators:
an offer that you cannot say *yes* to

The GARP GRC procesure depends not only on statistical data, facts and figures, but includes the anecdotal information as well. Compliance storytelling is a powerful tool to impact the understanding of the GRC value for the rank and file disclosures and will help that the numbers sink in deeper and make good use of the abundance of GRC data.

The GARP approach is the first step towards the adoption of an enterprise-wide, holistic business operating model with a focus on costs, avoid duplication & more automation/IT. The methodology will document and restructure the current GRC framework, data architectures & internal processes, into a cost-effective, scalable, firm-wide compliance strategy. GARP will provide a comprehensive regulatory service within the organization to cover many dozens of regulatory filing requirements at a global level.

Implementing the Copenhagen Compliance® Global Actionable Regulatory Programme (GARP), is the focal point of reference for the principles, procedures, framework and structure in the organization.GARP supports the supervision and governance of the formation of an effective GRC program that integrates the implementation of systems, processes, controls and IT tools.

While it is true that the financial crisis has changed compliance disclosures and reporting landscape forever, but more importantly the reverse is also true. The first step is to integrate the number of GRC regulations in a framework. The result is that the sum of the components, together will constitute the basis of a relatively straightforward answer on how the company must run the GRC processes, controls and the regulatory systems back on track.

The annual COPENHAGEN COMPLIANCE Journey
Global GRC Solutions

The Implementation Journey	Roadmaps & Frameworks	Training and Development

Determine the What, Why, When and How of the GRC regulatory requirements and audit standards for sustainability & stakeholder value. A systematic, structured optimal and holistic approach (CC roadmaps & frameworks). GRC risks are integrated & embedded at all levels of the organisation with clear roles, functions, responsibilities include IT issues, cyber threats and opportunities

- Tone at the Top
- Riskability®
- IT Security
- Succession Planning
- Ethics & Integrity
- Bribery/Corruption
- Cyber-Security

Web based courses in GRC strategies for value creation including culture, leadership, interaction, evaluation, crisis & composition.
Case: Interaction and division of effort between departments Risk management and financial governance Case: Management of corporate energies to improve the tasks and priorities.
Case: Crisis management for reputation and customer support

Monthly/Quarterly Deep Dive Seminars and workshops

The Anti-Corruption Day · CopenhagenCompliance® — The Business Continuity Day · CopenhagenCompliance® — The Compliance Day · CopenhagenCompliance® — The CSR Day — The Digital Day — The Governance Day · CopenhagenCompliance® — The IT-Security Day

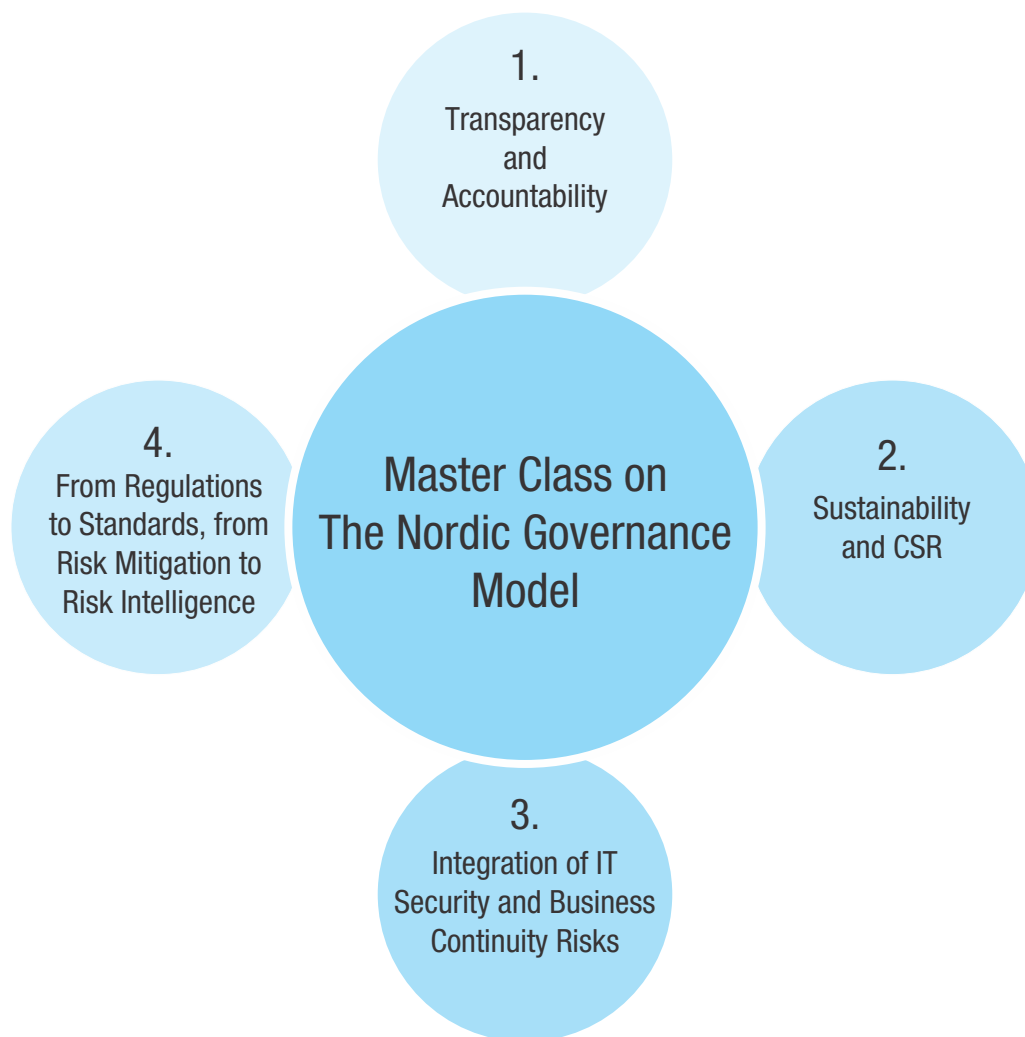Annual Company or Departmental Conference with focus on next years agenda

The annual GRC journey, the Copenhagen Compliance® way is not just a Danish pastry. It addresses the concerns and inconsistencies, throughout the organisation from the Board of Directors to Executive management and their role and responsibilities post the financial and credit crisis. The deep commitment from-the-top is not compromised with sugar-coated clichés - doing well by doing good, or by being a good corporate citizen. We review the bigger scheme of Global Governance, risk and Compliance (GRC) management beyond the commonplace issues.

Catalogued/compartmentalised Governance processes are out!

The Copenhagen Compliance model is a GRC journey which does not provide a simple recipe for responsible corporate behavior. The model includes the components of social responsibility in business - to use its resources and engagement in activities designed increase stakeholder value and company profits in an integrated way. The model rejects artificially catalogued or compartmentalised GRC processes.

The consequence of Nordic CSR definition is to address the social significance of CSR without conveniently excluding it from the economic decisions in a competitive market, with clear rules of ethical behavior.

1.
Transparency and Accountability

4.
From Regulations to Standards, from Risk Mitigation to Risk Intelligence

Master Class on The Nordic Governance Model

2.
Sustainability and CSR

3.
Integration of IT Security and Business Continuity Risks

Copenhagen Compliance Model Master Class events

Board Of Directors & Management - Role, Tasks & Dilemmas For Value Creation & Compliance
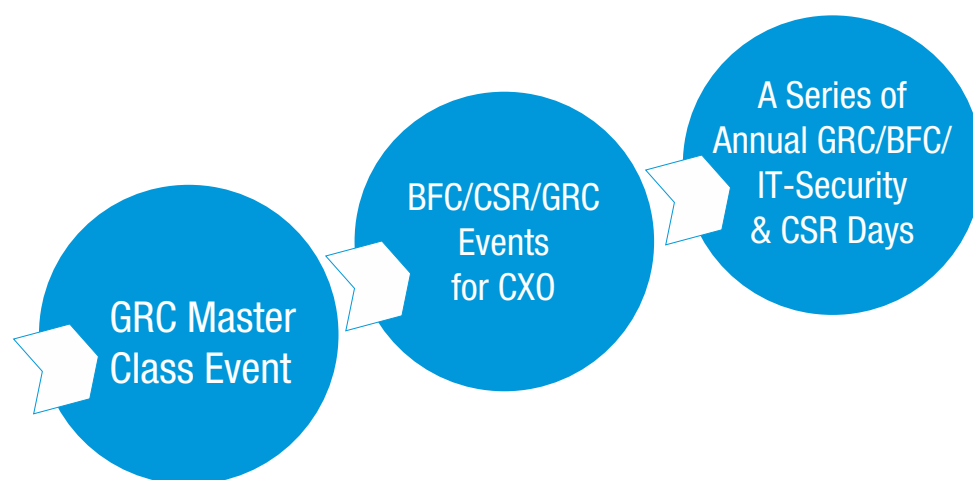
In all companies, the duties for any board of directors and management have grown in scope, complexity and importance. In addition, the extent of regulation and compliance places particular demands on how management conducts the leadership aspect of priorities and value creation without a 'business as usual' approach.

Duties, decisions and dilemmas

The Copenhagen Compliance Model is in line with shareholders' interests and the development of corporate governance codex so that the Board of Directors tasks, agenda, planning and decisions are all focused on value creation and strategy, evaluation of performance and risk management. The interaction between the board and executives is crucial for future handling of global IT challenges including IT security and the digitisation of products and productivity development.

Application-oriented learning environment

The Copenhagen Compliance Model provides a 360-degree view of the Board's Leadership tasks, issues, and relationships. The central themes are interaction, strategy, value creation, risk management and crisis management. Participants are offered an unique opportunity in the handling of the board's most challenging tasks and dilemmas in critical decision-making situations in a Scandinavian consensus driven methodology.

GRC Master Class Event

BFC/CSR/GRC Events for CXO

A Series of Annual GRC/BFC/ IT-Security & CSR Days

The three stages of The Copenhagen Compliance® master class events

We go through empirical cases from boardrooms - in dialogue with local management to place the current global challenges in an operational perspective. The cases are designed in order to interact with research and experience in an application-oriented learning environment.

Board Evaluations - Getting it right every year!

Continuous improvement and reflective intelligence are the two components combined with time, skills and resources that are used to align board and executive evaluation. The evaluation components provide a gap analysis to surface issues that are focussed more on development rather than governance. By linking the current business/industry issues and market trends to changes in group dynamics and get a clear understanding of board of directors and management objectives includes:

- Reports and feedback from a knowledgeable third-party facilitator where needed
- Integration of the board evaluation into strategic leadership and planning
- Facilitated follow-up discussions with the board to identify development actions

Tone-At-The -Top

▸ The framework is instrumental in creation of culture or the right 'tone' from the top to increase business performance by measuring & prevention of GRC problems in the organization.
▸ Error-free transactions

Board Evaluation

▸ The Copenhagen Compliance process helps in improving board performance and dynamics, to significantly enhance board usefulness, maximize strengths and tackle weaknesses.
▸ Issues in the marketplace

The HR and GRC Impact on Succession Planning

▸ The Copenhagen Compliance process is useful for identifying and developing potential future leaders or senior managers, for business-critical positions or for training and development activities.

Developing The Ethics of Environment, BFC, CSR & Sustainability

▸ Provides a set of Environmental Social Governance components for sustainable business solutions & value

Integrating Global CSR/BFC and GRC Regulatory Compliance

▸ Positions companies to meet the challenges of local, EU and multijurisdictional regulations through roadmaps and frameworks

The participant profile includes those executives who want to acquire the latest knowledge and core competencies in the management of board of directors and their interaction with the management by implementing a global strategy by bringing the participants' experience and skills into active play.

Additionally the program gives an overview of the Board's role and tasks with an emphasis on the chairman's duties, functions and organization of the dilemma-oriented decision-making situations.

The primary aim as mentioned earlier is value creation, in a relevant and a scenario/situation oriented interaction. Participants are inspired and challenged by the Copenhagen Compliance Model with case discussions on duties, decisions, and dilemmas.

Copenhagen Compliance® GRC Days takes inspiration from Greek mythology: when Aeolus restrains his winds & keeps them calm for seven days each year so that his wife Alcyone can lay her eggs. These became known as the halcyon days when storms do not occur. Similarly, during the GRC Days companies can acquire knowledge on how to stay clear of corporate storms by attending GRC days and thus to avoid corporate problems and stakeholder damage.

Conclusion: The ultimate goal of the Master Class is to create and provide a global One-Stop-GRC-Shop. The force of Copenhagen Compliance® partnership provides an unique & holistic perspective of the entire global GRC landscape, by;

- Helping companies to determine the What, Why, When and How to implement the ever-changing GRC regulatory requirements and audit standards, and create reliable stakeholder value.
- Guiding a systematic, structured and holistic approach (roadmaps and frameworks) for enterprises GRC management
- Ensure that the perception of GRC risks is embedded at all levels of the organisation.
- Help organization to develop an optimal approach through the complicated maze of GRC compliance and best practices

PROGRAM COMPONENTS

- Corporate Governance, the Board of Directors role, functions and priorities
- The corporate IT issues, cyber threats and opportunities
- GRC strategies and value creation post the financial crisis and changing market conditions
- Board culture, leadership, interaction, evaluation, composition & crisis management
- Risk management and financial governance including remuneration; 'say on pay' and the annual general meeting, the Nordic way!
- Case: Interaction and division of effort between the Board of Directors and executives
- Case: Management of corporate energy to improve the tasks and priorities
- Succession planning in the Executive
- Case: Crisis management for reputation and customer support

COPENHAGEN COMPLIANCE
Global GRC Solutions

GRC Implementation And Monitoring Solutions From A Single Source.

- Provide knowledge on GRC components for operations oriented strategic and financial planning
- Higher security demands on processes and strict compliance regulations are a real challenge for companies.
- The interesting solutions and best practices for analyzing huge amounts of data
- Implement innovative approaches to applications and technologies for automating GRC.

Copenhagen Compliance® was established in 2006, to support comprehensive global overview on corporate Good Governance, Risk Management, Compliance and IT-Security (GRC) issues.

Copenhagen Compliance® is an independent GRC competence center, with added specialization in bribery, fraud and corruption (BFC) prevention and Corporate Social/Stakeholder Responsibility (CSR) issues. We named our services Copenhagen Compliance® because Denmark/Scandinavia is traditionally known to provide global guidance on integrity, accountability and transparency issues. Scandinavian countries continue to be on the top of the list as the least corrupt, and are in the forefront to introduce global GRC/CSR and BFC codex and framework.

The primary objective is to strengthen the international GRC implementation system, whose greatest responsibility and challenge is to help managers, companies and regulators to understand, cope and comply with the current GRC problems and help them to formulate a safer and more sustainable GRC strategy.

BOARD OF DIRECTORS AND SENIOR MANAGEMENT

CERTIFICATION ★ EXERCISES ★ RESEARCH ★ TRAINING
IMPROVE ★ ORGANIZATION ★ NEEDS — IN CONTROL ★ FACILITATION
INSTRUCTION ★ COURSES ★ AUTHORITY ★ TRACKING

COPENHAGEN COMPLIANCE
Topical and Timely
Implementation
Advisory and IT Tools

The chairman is Lady Olga Maitland, board members are Torben Nielsen, ex-governor of the Danish National Bank, Mariano A. Davies, President of the British Chamber of Commerce, Tony Hegarty ex. The world Bank, CFO and the Secretary General is Kersi. F. Porbunderwalla

Copenhagen Compliance® is composed of three groups/Divisions- the Riskability IT Tools provides assessment on BFC/GRC/CSR issues. Copenhagen Charter provides global guidance on GRC/CSR/BFC principles and practices for certification purposes.

Our LEAN GRC implementations and independent review on GRC effectiveness are based on defined principles and practices. Additional services include; interim GRC personnel (search and selection), CERP (clear eyes review process) in-house training, conferences & webinars.

Riskability®
IT Tools

HR Riskability
Human Capital Assessment Framework

Copenhagen Charter further develops customised principles on corporate Code-of-Conduct including board committee charters based on key governance components; integrity, accountability ethics and transparency, to create value and improve global GRC processes & business standing for our clients.

The advisory assignments, conferences, seminars & workshops are based on our Maturity Model, Framework and CERP® methodology. We provide Roadmap for enhanced GRC stakeholder implementation, monitoring & reporting. LEAN GRC implementations and independent review on the GRC effectiveness are based on the defined principles and practices of Copenhagen Compliance.

When you get certified, you get recognized: As an independent body, we provide assessments, certification, opinions & autonomous papers & reports on audit, legal and policy issues to companies, organisations & associations.

Connecting IT Security to
Governance, Risk and Compliance

The extended scope of Copenhagen Compliance framework(s) will accelerate the execution of your GRC/BFC/CSR profile to secure a major impact on your top and bottom lines. In other words, Copenhagen Compliance provides a range of practical and hands-on advisory services, which combines the vision of the annual Davos Summit, together with the framework and process of the Basel Accords garnished with the oversight of the Bilderberg group.

COPENHAGEN COMPLIANCE® — Topical and Timely   Riskability®   GRC Controllers — Governance, Risk & Compliance   COPENHAGEN CHARTER® — Bribery, Fraud & Corruption

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